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**IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE**

TITLE:

**APPARATUS AND METHOD FOR REAL-TIME MEASUREMENT
OF MASS, SIZE AND NUMBER OF SOLID PARTICLES
OF PARTICULATE MATTER IN ENGINE EXHAUST**

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BACKGROUND OF THE INVENTION

This is a nonprovisional application claiming priority to U.S. Provisional Application Serial No. 60/427,114 filed November 18, 2002.

Technical Field

This invention relates generally to an apparatus and method for determining the amount of solid particles of particulate matter in exhaust gas discharged from an internal combustion engine, and more particularly, to such an apparatus and method for measuring the mass, size and number of particles comprising solid and volatile particulate matter emitted from combustion sources in real-time.

Background Art

Particulate matter (PM) emitted from combustion sources, particularly diesel engines, is typically composed of volatile and solid fractions. The solid fraction, often referred to as "soot", consists mainly of carbon and a small amount of inorganic ash. The volatile fraction consists of unburned and partially burned fuel and lubricating oil, and sulfur compounds. Dry soot particles are formed in the combustion chamber of an engine while most of the volatile fraction enters the particle phase from the gas phase as the exhaust cools. The particle phase of the volatile fraction consists of precipitated liquid particles and precipitated liquid that attach to preexisting solid particles. Hence, particulate matter is a combined measure of solid and volatile

1 fractions. It is important to be able to distinguish between the two components
2 because the mechanism of their formation and control are different.

3 Several systems and methods have been proposed for the measurement of total
4 (solid and precipitated volatile fractions) particulate mass. For example, U.S. Patent
5 No. 6,148,656, granted to Leo Breton on November 21, 2000, for a *REAL-TIME ON-*
6 *ROAD VEHICLE EXHAUST GAS MODULAR FLOW METER AND EMISSIONS REPORTING*
7 *SYSTEM*, describes an on-road system for measuring emissions from a vehicle in real-
8 time. The system provides a chemical analysis and measure of total particulate matter
9 emitted, which can be expressed in grams per mile. However, this system is not
10 capable of identifying the solid particles separately attributable to the solid and volatile
11 fractions of the particulate material, nor in identifying the size distribution and number
12 of solid particles.

13 The measurement and identity of the solid and volatile fractions of particulate
14 matter in real-time would be of great value to engine developers and emission
15 researchers focusing on ways to characterize and reduce soot emissions. Furthermore,
16 if the solid particle measurement is conducted with the volatile fraction removed, in
17 parallel with a measurement of total particulate matter (soot and volatile fractions), one
18 would also be able to determine volatile PM emissions in real-time, by taking the
19 difference between the solid PM and total PM. Currently, no available method is
20 capable of facilitating the measurement of solid particle mass, number and size

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1 emissions in real-time. Most available methods rely on chemical work-up, and they
2 are slow, notwithstanding the elaborate system proposed by Breton as discussed above,
3 and often take several hours to several days from the time of initial measurement.
4 Aside from particle mass, the results from available methods are not capable of
5 establishing particle number and size.

6 The present invention is directed to overcoming the problem of separately
7 identifying the source of particulate matter in emissions attributable to the solid and
8 the volatile fractions of the particulate matter. It is desirable to have an apparatus and
9 method that can remove the volatile fraction from the particulate matter, leaving only
10 particles from the solid fraction for measurement of mass, size distribution and number.
11 It is also desirable to have such an apparatus and method that can provide rapid
12 cooling prior to the measurement of solid particles to inhibit the formation of sulfuric
13 acid particles during cool down from a higher exhaust temperature.

SUMMARY OF THE INVENTION

15 In accordance with one aspect of the present invention, a method for real-time
16 measurement of the mass, size and number of solid fraction particulate matter in
17 exhaust gas discharged from an internal combustion engine includes discharging
18 exhaust gas from the engine over a predefined period of time while operating the
19 engine in a predetermined mode. A first sample of the discharged exhaust gas is
20 continuously collected throughout the predefined period of time and passed through

1 a catalytic stripper that removes at least about 90% of the volatile fraction from the
2 first gas sample. The first sample is then cooled to a temperature less than about
3 52°C and the size and number of solid particles contained in the first sample of
4 exhaust gas are measured over the predefined period of time. The measured size and
5 number of solid particles are representative of the distribution of the solid fraction
6 particulate matter discharged from the engine over the predefined period of time. The
7 solid particles are collected over the predefined period of time and the mass of the
8 collected solid particles measured. The measured mass of solid particles contained in
9 the first sample is representative of the total mass of the solid fraction of the
10 particulate matter discharged from the engine over the predefined period of time.

11 Other aspects of the method embodying the present invention include
12 continuously collecting a second sample of the exhaust gas discharged from the
13 internal combustion engine over a like predefined period of time, and cooled to a
14 temperature sufficient to precipitate substantially all of the volatile fraction from the
15 gaseous phase to a liquid phase. The solid fraction of the particulate matter and the
16 precipitated liquid phase of the volatile fraction formed throughout the like period of
17 time are collected and measured. The measured mass is representative of the total
18 mass of the solid fraction and the liquid particles of the volatile fraction comprising the
19 particulate matter discharged from the engine during the like period of time.

20 Another aspect of the method embodying the present invention includes

1 calculating the difference between the mass of the first and second samples of exhaust
2 gas, the difference being representative of the volatile fraction particulate matter
3 contained in the exhaust gas discharged from the engine.

4 In accordance with another aspect of the present invention, an apparatus for
5 real-time measurement of the mass, size and number of solid fraction and volatile
6 fraction particulate matter in exhaust gas discharged from an internal combustion
7 engine includes a catalytic stripper in fluid communication with an exhaust system of
8 the engine. The catalytic stripper is adapted to remove at least about 90% of the
9 volatile fraction particulate matter from the exhaust gas and pass at least about 95%
10 of the solid fraction particulate matter of the exhaust gas. The apparatus further
11 includes a micro-dilution tunnel in fluid communication with the catalytic stripper.
12 The micro-dilution tunnel is adapted to mix air having a temperature of less than that
13 of the exhaust gas with the exhaust gas and form a mixture of air and exhaust gas
14 having a temperature of less than 52°C. The apparatus further includes a particle
15 sizer and a particle counter in fluid communication with the micro-dilution tunnel. A
16 means for continuously collecting particulate matter contained in the mixture of air
17 and exhaust gas over a predefined period of time is also provided, along with a means
18 for measuring the total mass of the particulate matter collected over the predefined
19 period of time.

20 Other aspects of the apparatus embodying the present invention include a

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1 dilution tunnel interposed between the exhaust system of the engine and the catalytic
2 stripper. The dilution tunnel is adapted to mix air with the exhaust gas discharged from
3 the engine and provide a mixture of air and exhaust gas to the catalytic stripper.

4 **BRIEF DESCRIPTION OF THE DRAWINGS**

5 A more complete understanding of the structure and operation of the present
6 invention may be had by reference to the following detailed description when taken in
7 conjunction with the accompanying drawings, wherein:

8 FIG. 1 is a schematic diagram of an apparatus, embodying the present
9 invention, for the measurement, in real-time, of mass, size and number of solid and
10 volatile particulate matter in the exhaust gas discharged from an internal combustion
11 engine; and

12 FIG. 2 is a flowchart illustrating a method, embodying the present invention, for
13 the real-time measurement of the mass, size and number of solid and volatile
14 particulate matter in exhaust gas.

15 **DETAILED DESCRIPTION OF THE INVENTION**

16 In a preferred embodiment of the present invention, an apparatus for the
17 measurement, in real-time of the mass, size and number of solid and volatile
18 particulate matter in the exhaust gas discharged from an internal combustion engine
19 12 is generally indicated by the reference numeral 10 in FIG. 1. The apparatus 10
20 includes a catalytic stripper system 14 disposed in fluid communication with an

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1 exhaust system 16 of the engine 12, either by way of a full flow dilution tunnel 18 or
2 a conduit 20 extending between the exhaust system 16 and the catalytic stripper
3 system 14.

4 In the preferred embodiment, the dilution tunnel 18 is a conventional dilution
5 device used to dilute exhaust gas emitted from an engine with air, and thereby provide
6 a relatively low temperature mixture of air and exhaust gas that is beneficial for
7 analyzing and measuring exhaust gas content. The dilution tunnel 18 has a HEPA
8 filter 28 disposed at an end through which fresh air, represented by the arrow 24, is
9 drawn, and a blower and heat exchanger unit 22 disposed at an opposite end of the
10 tunnel 18. The exhaust system 16 of the engine 12 is in communication with the
11 dilution tunnel 18 whereby exhaust gas, represented by the arrow 26, is introduced
12 into the dilution tunnel 18 and mixed with air to form a mixture of exhaust gas and air,
13 as indicated by the arrow 29.

14 A representative sample of the diluted exhaust gas 29 is drawn from the dilution
15 tunnel 18 and into a sampling tube 30 by an air pump 66 disposed at a downstream
16 end of the apparatus 10. As illustrated schematically in FIG. 1, the sampling tube 30
17 extends between the dilution tunnel 18 and the catalytic stripper system 14. The
18 sampling tube 30 provides direct communication between the dilution tunnel 18 and
19 the catalytic stripper system 14 when a first flow control valve 32, disposed in the
20 sampling tube 30 at a position between the dilution tunnel and a connection between

1 the conduit 20 and the sampling tube, is open and a second flow control valve 34
2 disposed in the sampling tube 30 at a position between the connection of the conduit
3 20 with the sampling tube and the catalytic stripper system 14, is also in an open
4 position. A direct undiluted flow of exhaust gas can be delivered to the catalytic
5 stripper system 14 by closing the first flow control valve 32, opening a third flow
6 control valve 36 disposed in the conduit 20 at a position adjacent a connection of the
7 conduit 20 with the exhaust system 16, and opening a fourth flow control valve 38
8 disposed in the conduit 20 at a position adjacent a connection of the conduit 20 with
9 the sampling tube 30. Thus, diluted exhaust gas 29 can be provided to the catalytic
10 stripper system 14 by closing the third and fourth flow control valves 36, 38, and
11 opening the first and second flow control valves 32, 34. In a similar manner,
12 undiluted exhaust gas 26 can be delivered directly from the exhaust system 16 of the
13 engine 12 to the catalytic stripper system 14 by closing the first flow control valve 32,
14 and opening the third and fourth flow control valves 36, 38.

15 In the preferred embodiment of the present invention, the catalytic stripper
16 system 14 has a conventional oxidation catalyst 40, such as a diesel oxidation catalyst
17 having a platinum-loaded honeycomb structure. The flow-thru catalyst substrate is
18 designed to provide enough residence time for unburned and partially burned fuel and
19 lube oil molecules to diffuse to the internal surface of the substrate channels and
20 become oxidized. Importantly, the catalyst 40 strips hydrocarbon (HC) molecules from

1 the sample through conversion of HC to carbon dioxide (CO₂) and water (H₂O).

2 Other components of the catalytic stripper system 14 include a first temperature
3 sensor 42 disposed in the sampling tube 30 at a position adjacent an inlet end of the
4 catalyst 40, and a second temperature sensor 44 disposed at an outlet end of the
5 catalyst 40. The catalytic stripper system 14 also includes a heater 46, such as a
6 resistance cartridge heater, disposed in intimate contact with the catalyst 40 to heat
7 the catalyst to a selected temperature. A temperature controller 48 is in electrical
8 communication with at least one of the temperature sensors 42,48 and with the
9 heater 46. The heater 46 and the temperature controller 48 controllably heats and
10 maintains the catalyst 40 at a temperature within a range of from about 250°C to
11 about 500°C, and preferably about 350°C, to oxidize the volatile fraction of the
12 particulate matter.

13 A bypass conduit 52 is provided to direct fluid flow around the catalyst 40 when
14 it is desired to measure a sample containing both solid and volatile fractions of
15 particulate matter. Flow around the catalyst 40 is controlled by a fifth flow control
16 valve 54 positioned in the bypass conduit 52 at a position adjacent a connection of
17 the bypass conduit 52 with the sampling tube 30. When bypassing the catalyst 40,
18 it may also be desirable to have an additional flow control valve, not shown, of the
19 discharge end of the catalyst 40 to prevent back flow into the catalyst.

20 The catalytic stripper system 14 also includes a micro-dilution tunnel 50

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adapted to provide clean compressed air, indicated by the arrow 56, to quickly (i.e., in less than about 100 ms) cool the diluted exhaust gas 29 or undiluted raw exhaust 26 samples, as required and explained below in greater detail.

The apparatus 10 also includes a particle detection sizer and counter system 58 that is adapted to analyze a representative portion, e.g., 10%, of the total sample under test. The particle detection sizer and counter system 58 includes a conventional sizer, such as the Scanning Mobility Particle Sizer Model No. 3934 manufactured by TSI, and a conventional particle counter, such as the Condensation Particle Counter Model No. 3025 also manufactured by TSI. The particle detection sizer and counter system 58 provides for a controlled predetermined volumetric flow of the mixture of air and exhaust gas through the system, and requires that the temperature of the test sample passing through be substantially at ambient temperature, i.e., about 25°C. The particle detection sizer and counter system 58 is able to determine the size of particles, the size distribution of particles, and the number of particles passing through the system 58. The size, distribution and number of particles is representative of the total sample.

The apparatus 10 also includes a means for continuously collecting particulate matter contained in the remaining portion of the air and exhaust gas mixture over a predefined period of time, e.g., a 20 minute cycle, such as a filter pack 60 positioned at an outlet end of the micro-dilution tunnel 50. The apparatus 10 also includes a

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1 means 62, for example, an analytical balance scale, for measuring the total mass of
2 particulate matter collected over the predefined period of time.

3 In the preferred embodiment of the present invention, the apparatus 10
4 includes a means 64 for measuring the mass flow, for example a conventional mass
5 flow sensor, of the mixture of air and exhaust gas from which solid particles have been
6 removed by the filter pack 60 over the predefined period of time, is desirably
7 positioned downstream of the filter pack 60.

8 The method for measuring, in real-time, the mass, size and number of
9 particulate matter in exhaust gas discharged from an internal combustion engine in
10 accordance with the present invention, is illustrated by the flowchart shown in FIG. 2.
11 Engine exhaust, as represented at block 100, is delivered to the dilution tunnel 18 by
12 way of exhaust system 16 of the engine 12 and diluted, as indicated at block 102, to
13 provide a first sample for testing. The first sample, representative of the mixture of air
14 and exhaust gas flowing through the dilution tunnel, is withdrawn from the dilution
15 tunnel by the pump 66. Alternatively, the engine exhaust gas 16 may be routed
16 directly, without dilution, to the catalytic stripper system 14 by way of the conduit 20,
17 as described above. The withdrawn first sample is passed through the catalytic stripper
18 system 14 whereupon at least 90% of the volatile fraction of particulate matter is
19 removed from the stream of air and exhaust gas, as indicated at block 104. The
20 catalytic stripper system 14 is designed to allow more than 95% passage of the solid

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fraction particulate matter, while removing more than 90% of volatile hydrocarbon and sulfate materials that are typically present in engine exhaust.

After passing through the catalytic stripper system 14, the first test sample flows through the micro-dilution tunnel 50. The micro-dilution tunnel 50 adds clean compressed air 56, and quickly (in less than 100 ms), further dilutes the heated mixture of air and exhaust gas, or the raw exhaust sample, to bring the sample to a temperature of less than 52°C and preferably about 25°C, as indicated at block 106. Rapid cooling inhibits the formation of sulfuric acid particles and reduces the thermophoretic deposition of particles on walls of the several components of the catalytic stripper system 14.

A predetermined volumetric portion of cooled first test sample is directed through the particle detection size and counter system 58 whereat the size and number of solid particles are measured as indicated at block 108. The mass of the solid particles in the remaining portion of the first test sample collected on the filter pack 60 during the length of time over which the test is conducted, e.g., a 20 minute cycle, is measured as represented at block 110, and the mass flow of the remaining portion of the test stream measured as represented at block 112.

A second sample of exhaust gas 26, as indicated at block 114, is directed to the dilution tunnel whereupon it is diluted with air 24 as indicated at block 116. Alternatively, if it is desired that the second sample be undiluted exhaust gas, the

1 engine exhaust gas may be directed around the dilution tunnel 18 by the conduit 20,
2 as described above

3 In the illustrated embodiment described herein, the second sample bypasses the
4 catalytic stripper system 14 and is routed directly to the micro-dilution tunnel 50
5 whereupon the second test sample is cooled as represented at block 118.

6 Total particulate matter, i.e., solid fraction and precipitated volatile fraction
7 particles carried in the second sample are collected on the filter pack 60 and
8 measured as indicated at block 120. For collection purposes, it is only necessary to
9 rapidly cool the second sample to a temperature less than about 52°C. However, if
10 it is desired to measure the size and number of total particles of the second stream,
11 as indicated by block 122 connected by dotted lines between blocks 118 and 120 by
12 passing a portion of the second sample through the particle detection sizer and counter
13 system 58, it is necessary that the test sample be cooled to a temperature near
14 ambient, i.e., about 25°C.

15 The mass flow of the second sample, after removal of the total particulate
16 matter, is measured as indicated at block 124.

17 The respective solid and volatile fractions of particulate matter carried in the
18 exhaust stream is then calculated as represented by block 126. The first and second
19 test samples should be conducted in parallel with the same exhaust gas flow and
20 carried out over equal amounts of time. The total particulate matter (the solid fraction

1 and the liquid phase particles of the volatile fraction) is represented by the
2 measurements of the total particulate matter of the second sample. In the first
3 sample, substantially all of the volatile fraction is removed by the catalytic stripper
4 system so that only particulate matter comprising the solid fraction are collected and
5 measured. Therefore, the volatile fraction of the particulate matter is identified by
6 subtracting the measured mass of particulate matter of the first sample from the
7 measured mass of the total particulate matter of the second sample. Furthermore, the
8 size, size distribution and number of particles carried in the first test stream, and if
9 desired in the second test stream, may be readily provided in real-time by readouts on
10 the particle detection sizer and control system 58.

11 Particulate matter is sometimes expressed as the concentration of PM in the
12 exhaust stream, e.g., in parts per cubic centimeter. The concentration of particulate
13 matter in the exhaust stream can be obtained using the method embodying the present
14 invention. If suitable flow sensors are placed in the exhaust system 16 of the engine
15 12 and in the dilution tunnel 18, the dilution ratio, if any, of exhaust gas 26
16 · introduced into the sampling tube 30 can be readily ascertained. Further, the mass
17 flow sensor 64 disposed downstream of the filter pack 60 measures mass flow after
18 dilution by air introduced into the micro-dilution tunnel 50. Therefore, the amount of
19 the exhaust gas 26 contained within the respective first and second samples as the
20 samples respectively pass through the particle detection sizer and counter system 58

1 and the filter pack 60 can be readily determined, and the concentration of solid
2 particulate matter in the exhaust gas stream determined, as indicated at block 128.
3 If it is desirable to define the particulate matter carried in the exhaust stream per unit
4 of distance, e.g., grams per mile (g/mi) or grams per horsepower hour (g/Hph), the
5 vehicle distance traveled, or the horsepower produced by the engine 12 during the test
6 cycle can be multiplied by the time of the test cycle, and the mass of the collected
7 particulate matter divided by the distance traveled or by the product of horsepower and
8 time.

9 Although the present invention is described in terms of preferred illustrative
10 embodiments, those skilled in the art will recognize that the above-described apparatus
11 and method are illustrative of a typical arrangement for using the apparatus and
12 method embodying the present invention. For example, the two-way flow control valves
13 could be substituted for a fewer number of three-way flow control valves. In a similar
14 manner, the steps described for treating and testing the first test sample could just as
15 easily be carried out after the steps described for treating the second sample. That is,
16 the order in which the test samples are taken and tested is inconsequential in carrying
17 out the method embodying the present invention. Such arrangement of the apparatus
18 and application of the method embodying the present invention are intended to fall
19 within the scope of the following claims.

20 Other aspects, features, and advantages of the present invention may be

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1 obtained from a study of this disclosure and the drawings, along with the appended
2 claims.